

Appendix Three: Information and Data Requirements in Relation to Regulations 69 and 85 (and 86, as relevant) of the NCS Regulations

Section One: Phase One – Second Reporting Cycle Information Request Oranga Tamariki

Ref	Question	Information Requirements
1	Have there been any updates to your policies, procedures, tools and practices for responding to allegations of abuse or neglect in respect to children or young people in care?	<p>Please provide details of these changes.</p> <p>How have these changes been communicated to and implemented by front-line staff?</p>
2	Describe how practice is being enhanced in relation to continuous improvement activities.	<p>Provide evidence of improvements relevant to the processes for responding to notifications of abuse or neglect.</p> <p>Include results and associated analysis of the repeated self-assessment (Jan–Mar 2020) by 15 May 2020.</p> <p>Include any relevant information and analysis regarding the Quality Practice Tool and Site Practice Checks reporting.</p> <p>As detailed in the December 2019 ICM report, have there been any targeted or national interventions because of the trends and patterns identified in the SoCiC Unit reporting?</p>

Ref	Question	Information Requirements
3	Describe any developments in your practice regarding the way you respond to allegations of harm in relation to tamariki Māori.	<p>Evidence of developments which may include:</p> <ul style="list-style-type: none"> the provision of culturally appropriate supports that could be offered when an allegation is made particular practices for Māori whānau consideration of mana tamaiti <p>Please provide any information regarding how you are reducing disparities in outcomes for tamariki Māori.</p>
4	<p>How are you able to provide assurance that all allegations in relation to a risk of harm caused by abuse or neglect of a child or young person in care are logged and responded to?</p> <p>Regulation 69(1)</p>	<p>Please provide details of the responses as per your definition provided '... is responded to'.</p> <p>Include (as per the attached data request):</p> <ol style="list-style-type: none"> Number of reports in relation to a risk of harm caused by abuse or neglect of a child or young person in care received by Oranga Tamariki (including reports made by s396 providers). Number of reports of concern assessed as requiring further action in relation to allegations of abuse and neglect of children in care. The number of reports of concern closed without an Investigation or Child and Family Assessment in relation to allegations of abuse or neglect of children in care. Number of Child and Family Assessments and Investigations completed in relation to allegations of abuse and neglect of children in care. <p>Please include any analysis completed which provides assurance regarding the decision-making processes as defined in your definition mentioned above. Please provide details of Reports of Concern outcomes i.e. Partnered Response, NFA etc</p> <p><i>* Have you considered broadening the definition of 'Responded to' as detailed in the Continuous Improvement Observations on Page 15 of the December 2019 ICM report?</i></p>

Ref	Question	Information Requirements
5	<p>How many of the reports made in relation to a risk of harm caused by abuse or neglect have been responded to in a timely manner?</p> <p>Regulation 69(2)(a)</p>	<p>Please advise whether the responses were timely in regard to:</p> <ul style="list-style-type: none"> the immediate safety of the child (Safety Assessment) <p>and</p> <ul style="list-style-type: none"> the investigation or child and family assessment to be undertaken to enable a finding of abuse or 'not found' to be made. <p>Please provide any additional evidence of self-monitoring that has occurred across the organisation for the period.</p>
6	<p>Was the information regarding the reports of concern detailed in question 5 recorded and reported in a consistent manner?</p> <p>Regulation 69(2)(b)</p>	<p>Please provide details regarding your level of compliance with this regulation.</p> <p>Provide evidence of assurance processes that have been used to indicate whether these have been recorded and reported consistently. Please include any associated analysis and any resulting changes to policies or practice.</p>
7	<p>In how many cases was the child or young person informed of the outcome of the Report of Concern</p> <p>Regulation 69(2)(c)</p>	<p>Please provide information regarding the level of compliance with this regulation. Include the following:</p> <ul style="list-style-type: none"> the number of children or young people who were informed of the outcome the number of children or young people who were not informed of the outcome. <p>Provide evidence and analysis regarding this information and quality assurance processes you rely on when reporting this data*².</p> <p><i>*² Have you considered broadening the definition of 'Outcome' as detailed in the Continuous Improvement Observations on Page 15 of the December 2019 ICM report?</i></p>

Ref	Question	Information Requirements
8	<p>Were appropriate steps taken with the parties to the allegation, including a review of the caregiver's plan?</p> <p>Regulation 69(2)(d)</p>	<p>Please provide any information and evidence, including the data and analysis regarding your level of compliance with this regulation including where tamariki are in shared care arrangements with Approved Organisations (as per the definition of 'Appropriate Steps' previously provided).</p> <p>Please provide specific information regarding:</p> <ul style="list-style-type: none"> • reviews of caregiver plans • outcomes for children/young people.

Section Two: Phase One – Second Reporting Cycle Data Request

Oranga Tamariki

General information for children and young people in custody of Oranga Tamariki	<ul style="list-style-type: none"> a) Number of children or young people in custody (including Youth Justice) b) Number of children or young people by: site, region, age, ethnicity, gender, disability, placement type, agency with care
Initial response to concerns raised (relating to children and young people in custody)	<ul style="list-style-type: none"> c) Number of reports in relation to a risk of harm caused by abuse or neglect of a child or young person in care received by the contact centre and sites d) Number of reports that were referred to site by the contact centre e) Number of reports received by site that have had a safety screen completed f) Number that have progressed to CFA or Investigation

The following series of questions are being requested on a line by line basis for each incident involving harm for a child in care from 1 July 2019. This would include all abuse of children in custody incidents. Please refer to the excel spreadsheet for the data input.

Information related to children and young people with a finding	<ul style="list-style-type: none"> g) Unique identifier for child or young person h) Age of child or young person i) Gender j) Ethnicity k) Region l) Site m) Whether they have a disability, including cognitive or developmental issues
Agency information related to the children and young people with a finding	<ul style="list-style-type: none"> n) Agency with care – Oranga Tamariki or a s396 Provider o) Agency with custody– Oranga Tamariki or a s396 Provider

Information related to the abuse incident for children and young people with a finding	<p>p) Incident number (identifier for individual harm incident)</p> <p>q) Date of incident</p> <p>r) Who the allegation is against?</p> <p>s) Type of harm (Neglect, Emotional, Physical, Sexual, Not found, Other [please define])</p> <p>t) Placement type</p> <p>u) Whether the harm occurred inside or outside placement</p>
Promptness of response and nature of investigation for children and young people with a finding	<p>v) Level of urgency given to report (Critical, Very urgent, Urgent, Low urgency)</p> <p>w) Whether the safety screening was completed on time</p> <p>x) Type of investigation that was carried out (CFA or Investigation)</p> <p>y) Whether the investigation or CFA was completed within the timeframe</p>
Appropriateness of steps taken for children and young people with a finding	<p>z) Whether a placement change was required</p> <p>aa) If the child/young person's plan was reviewed</p> <p>bb) Whether any support was identified for the child</p> <p>cc) Whether the caregiver support plan was reviewed</p>
Outcome communicated for children and young people with a finding	<p>dd) If the child was informed of the outcome</p> <p>ee) If the caregiver was informed of the outcome</p> <p>ff) If the parents/guardians were informed of the outcome</p> <p>gg) If the alleged abuser was informed of the outcome</p> <p>hh) If the notifier was informed of the outcome</p>

Section One: Phase One – Second Reporting Cycle Information Request

Approved Organisations

Ref	Question	Information Requirements
1	Have there been any updates to your policies, procedures, tools and practices in relation to allegations of abuse or neglect for children or young people in care?	<p>Please provide details of these changes.</p> <p>How have these changes been communicated to and implemented by front-line staff?</p>
2	Describe how practice is being enhanced in relation to continuous improvement activities.	Please provide results and associated analysis of your self-assessment/s, and any other continuous improvement processes.
3	Describe any developments in your practice regarding the way you respond to allegations of harm in relation to tamariki Māori	<p>Evidence of developments which may include:</p> <ul style="list-style-type: none"> particular practices for Māori, including the provision of culturally appropriate supports that could be offered when an allegation is made consideration of mana tamaiti. <p>Please provide any information regarding how you are reducing disparities in outcomes for tamariki Māori.</p>
4	<p>How are you able to provide assurance that all allegations in relation to a risk of harm caused by abuse or neglect of a child or young person in care are responded to?</p> <p>Regulation 69(1)</p>	<p>Please provide details of the number of allegations reported to Oranga Tamariki in the period.</p> <p>Please include any information or analysis completed which provides assurance regarding your decision-making processes and that policy was adhered to.</p> <p>As highlighted in the December 2019 ICM report (Page 16) please advise whether your agency has an understanding "as to what situations may be defined as abuse or neglect of a tamaiti or rangatahi in care and therefore require a report of concern to Oranga Tamariki".</p>
5	Please describe the supports put in place for a child or young person following an allegation of harm.	Please provide detailed information regarding supports and outcomes for children and young people.

Section Two: Phase One – Second Reporting Cycle Data Request

Approved Organisations

This data is required only in relation to tamariki in the custody of the Approved Organisation but not to tamariki for whom the Approved Organisation holds shared care arrangements.

General information for children and young people in custody	<ul style="list-style-type: none"> a) Number of children or young people in custody b) Number of children or young people by: location, age, ethnicity, disability, caregiver type, placement type
Information related to children and young people with a reported concern of harm	<ul style="list-style-type: none"> c) Age of child or young person d) Gender e) Ethnicity f) Location g) Whether they have a disability, including any cognitive or developmental issues (based on whatever definition of disability is used by the Approved Organisation)
Appropriateness of steps taken for children and young people with a finding	<ul style="list-style-type: none"> h) Whether a placement change was required i) If the child/young person's plan was reviewed j) Whether any support was identified for the child
Follow up actions	<ul style="list-style-type: none"> k) Whether the caregiver support plan was reviewed l) Initial response time provided by Oranga Tamariki to the report of concern.