

High Level Summary of Findings

For the three-month reporting period from 1 July 2019 to 30 September 2019, regarding compliance with regulations 69 and 85, the Monitor has made the following high-level findings:

No	Question	Commentary on Agency Compliance	Compliant
1	What are the Provider's policies, processes and practices for responding to information disclosures relating to a risk of harm caused by abuse and neglect of a child or young person in Care or Custody?	The agencies have policies, processes and practices for responding to information disclosures relating to a risk of harm caused by abuse and neglect of a child or young person in care or custody	Yes
2	How do these policies, processes and practices facilitate compliance with the requirements of regulation 69?	The agencies' policies, processes and practices facilitate compliance with the requirements of regulation 69	Yes
3	How consistently are the Provider's own policies, processes and practices being followed?	For the two agencies who had disclosures, Open Home Foundation is applying its policies and processes consistently and Oranga Tamariki is applying its policies and processes some of the time	Partially
4	How well do the Provider's responses to information disclosures referred to in regulation 69(1) comply with regulation 69(2), i.e., are the information disclosures responded to and do the responses meet the requirements of regulation 69(2)?	Oranga Tamariki is partially compliant with the requirements of regulation 69 and Open Home Foundation is compliant. Barnardos and Dingwall Trust had no disclosures during the period	Partially
5	How is the Provider responding to cases of abuse or neglect of Māori children and young people in Care or Custody?	The agencies' responses to cases of abuse or neglect of Māori children and young people in care or custody are largely done on a case by case basis, with Oranga Tamariki having made a significant number of practice enhancements to consider responses to Māori	Yes
6	What are the Provider's internal assurance policies, processes and practices (self-monitoring) that ensure that information disclosures referred to in regulation 69(1) are responded to and meet the requirements of regulation 69(2)?	The agencies' internal assurance policies, processes and practices (self-monitoring) that they say ensure that information disclosures referred to in regulation 69(1) are responded to and meet the requirements of regulation 69(2), cannot be tested at this stage	Partially
7	What improvement processes does the Provider have in place to address any issues identified (such as through assurance activities)?	The agencies have identified improvement processes to address any issues identified (such as through assurance activities) and while some processes are underway, it's too early for any impact of these changes to be reported to the Monitor.	Partially
8	What specific improvements are being considered or implemented by the Provider in relation to responding to information disclosures referred to in regulation 69(1)?	The agencies have identified specific improvements in relation to responding to information disclosures referred to in regulation 69(1).	Yes
9	Is the information available to the Provider and provided to the Monitor under regulation 85 and, when requested, under regulation 84, sufficient to enable the Monitor to fulfil its monitoring role?	Given the short monitoring period, there is not currently sufficient information to enable the Monitor to fulfil its monitoring role	N/A
10	What progress has the Provider made in implementing and monitoring regulations 69 and 85? What has gone well and what are the issues or challenges?	The agencies have made progress in implementing and monitoring regulations 69 and 85. All agencies have recognised the additional work required to meet requirements and provide evidence through self-monitoring that they are meeting their statutory obligations	Yes

No	Question	Commentary on Agency Compliance	Compliant
11	What learnings can be identified from the monitoring conducted in the previous period that can improve the Monitor's processes, the Provider's responses to information disclosures under regulation 69(1), and the Provider's provision of information under regulations 85 and 84?	Learnings have been identified from the monitoring in this period that can improve both the Monitor's processes and agency responses	N/A
12	What learnings can be identified from the monitoring conducted in the previous period that can be applied to Phase 2 monitoring and Phase 3 monitoring?	Learnings have been identified from the monitoring conducted that can be applied to both Phase 2 and Phase 3 monitoring	N/A